

Docket: 89535

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Kevin L. Parsons

Group Art Unit:

Serial No.:

10/614,583

Examiner:

For:

LED FLASHLIGHT WITH MULTI-COLOR DECORATING

Filed:

July 7, 2003

(AS AMENDED)

Confirmation No.

INFORMATION DISCLOSURE STATEMENT

[X] 1 Pursuant to 37 CFR 1.97(b)
[within 3 months of filing or prior to 1st Office Action]

[] 2 Certification Pursuant to 37 CFR 1.97(c)
[before Final Office Action or Allowance]

[] 3 Fee Payment Pursuant to 37 CFR 1.97(c)
[before Final Office Action or Allowance]

[] 4 Petition, Certification & Petition Fee Payment Pursuant to 37 CFR 1.97(d)

Petition, Certification & Petition Fee Payment Pursuant to 37 CFR 1.97(d) [before issue fee payment]

Commissioner for Patents P.O. Box 1450 Alexandria, Virginia 22313-1450

SIR:

The following are submitted in the above identified application in compliance with 37 CFR 1.97 & 1.98:

[X] 5 A list of documents on Form PTO-1449 together with copies of each identified document and a translation or a concise explanation of each non-English language document is enclosed herewith.

This paper is submitted in accordance with:

- [X] 6 37 CFR 1.97(b): [within 3 months of filing or prior to 1st Office Action]
- [] 7 37 CFR 1.97(c): [before Final Office Action or Allowance, whichever is earlier]; and
 - [] 8 The required certification made in item 11 below; or
 - [] 9 The \$180.00 fee specified in 37 CFR § 1.17(p) for submission of this Information Disclosure Statement is authorized in item 14 below.
- [] 10 37 CFR 1.97(d): [before issue fee payment]; and
 - a) This is a petition for consideration of the subject Information Disclosure Statement. The petition fee (\$180.00) required by 37 CFR 1.17(i)(l) is authorized in item 14 below. (Direct this letter to:

'Attention PETITIONS	EXAMINER" and if applicat	ole include b	atch locator information:	e.g
'Allowed Files, Batch _	, Date of Allowance	<u>"</u>), and		

b) The required Certification is stated in item 11 below.

[] 11 Certification

- [] 12 Each item of information in this Statement was cited in a communication from a foreign patent office in a counterpart foreign application not more than three months prior to the filing of this Statement; or
- [] 13 No item of information contained in this Statement was cited in a communication from a foreign patent office in a counterpart foreign application or, to the knowledge of the person signing this document after making reasonable inquiry, was known to any individual designated in 37 CFR 1.56(c) more than three (3) months prior to the filing of this Statement.
- [X] 14 Please charge all applicable fees associated with the submittal of this Information Disclosure Statement to Deposit Account No. 23-0920. An original and one (1) copy of this document are enclosed.

In the judgment of the undersigned, portions of the references may be material to the examination of the pending claims. However, the references have not been reviewed in sufficient detail to make any other representation and, in particular, no representation is intended as to the relative importance of any portion of the references or to whether the references are considered "analogous art." This Statement is not a representation that the cited references have effective dates early enough to be "prior art" within the meaning of 35 U.S.C. Section 102 or 103. This Information Disclosure Statement shall not be construed as an admission that the statement or cited references are, or are considered to be, material to the patentability under 37 C.F.R. Section 1.97(h).

Respectfully submitted,

WELSH & KATZ, LTD.

Eric D. Cohen Reg. No. 38,110

WELSH & KATZ, LTD. 120 South Riverside Plaza 22nd Floor Chicago, IL 60606 (312) 655-1500 August 25, 2003

CERTIFICATE OF MAILING

I hereby certify that his paper is being deposited with the United States Postal Service as first-class mail in an envelope addressed to: Commissioner for Patents, P.O. Box 1450, Alexandria, Virginia 22313-1450, on this date:

Aug. 25, 2003

Date

Eric D. Cohen, Esq. Registration No. 38,110 Attorney for Applicant Form PTO-1949 (Rev. 8-88)

U.S. Department of Commerce Patent and Trademark Office

INFORMATION DISCLOSURE STATEMENT

(Use several sheets if necessary)

Attorney Docket No. 8342-89535

Serial No. 10/614,583

Applicant

Kevin L. Parsons

Filing Date Group No. July 7, 2003

U.S. PATENT DOCUMENTS

		····	5. PATENT DOCUMENTS		,	
Examiner Initial*	Document Number	Date	Name	Class	Subclass	Filing Date If Appropriate
	762,720	6/14/04	Hubert			
	1,047,525	12/17/12	Hubert			
	1,315,457	9/9/19	Benedict			
	1,436,340	11/21/22	Gallagher			
	1,866,600	7/12/32	Rauch			
·	2,249,692	7/15/41	Gelardin			
	2,412,056	12/3/46	Mosch			
	2,465,114	3/22/49	Oury		<u></u>	
		FORE	IGN PATENT DOCUMENTS			
	Document Number	Date	Country	Class	Subclass	Translation

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L	Document Number	Date	Country	Class	Subclass	Yes	No
	GB 1,058,466	2/8/67	United Kingdom (Schwartz)				
	GB 2 220 735 A	1/17/90	United Kingdom (Beastall)				
	H07-029701	6/2/95	Japan (Yoshii Moripura, Inc.)				
	GB 2,314,150 A	12/17/97	United Kingdom (Sinclair)				

OTHER DOCUMENTS (including Author, Title, Date, Pertinent Pages, Etc.)
Lumatec 1997 Holiday Collection Catalog
Lumatec Wholesale Price List (9/1/97)
 Lumatec Fall 1997 Newsletter

Examiner

Date Considered

*Examiner:

Initial if citation considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

Form PTO-1449 (Rev. 8-88)

U.S. Department of Commerce Patent and Trademark Office Attorney Docket No. 8342-89535 Serial No. 10/614,583

INFORMATION DISCLOSURE STATEMENT				Applicant Kevin L. Parsons					
	(Use several sheets	if necessary) 				up No.		
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Examiner Initial*	Document Number	Date	Name		Class	Subclass	Filing (If Appr	g Date opropriate	
	2,714,152	7/26/55	Ackerman				<u> </u>		
	2,762,907	9/11/56	Schwartz						
	2,889,450	6/2/59	Nordquist						
	3,057,992	10/9/62	Baker						
	3,085,149	4/9/63	Giwosky						
	3,085,150	4/9/63	Bautsch						
	3,119,564	1/28/64	Zalman						
	3,256,428	6/14/66	Schwartz						
		FORE	EIGN PATENT DOCU	MENTS					
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	Alleged Photograph o	f Lumatec Tin	yMite Flashlight			·			
	Photograph of Master	Lock Backpa	ck/Luggage Lock (prio	r to August 26, 1	998)				
	Chicago Miniature La	mp, Inc., catal	log pages, 1998						
Examiner			Date Considered						
*Examiner:	Initial if citation consi citation if not in confo applicant.	dered, wheth ormance and	er or not citation is in on not considered. Include	conformance wi de copy of this f	th MPEP orm with (609; Draw li next commu	ne through	h D	

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		U.S	S. PATENT DOCUME	NTS	 	,			
Examiner nitial*	Document Number	Date	Name	! 	Class	Subclass	Filing D		
	3,310,668	3/21/67	Schwartz						
	3,330,949	7/11/67	Bush						
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	3,589,155	6/29/71	Kamp		ļ				
	3,613,414	10/19/71	Ostrager		<u> </u>		-		
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	Snaptron, Inc., catalog	g pages, 2000)						
	Photon Micro-Light ar	nd LRI Compa	ny Profile, March 21, 2	001					
	Lifestyle Fascinations	s Catalog (Lat	e Summer 2001), two	pages bearing	Bates No	s. LL 001792	- LL 001	793	
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Form PTO-1 (Rev. 8-88)	U.S. Department of Commerce Patent and Trademark Office			Attorney Doc 8342	Ser	Serial No. 10/614,583			
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		U.	S. PATENT DOCUME	NTS					
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	3,860,847	1/14//75	Carley						
	3,866,035	2/11/75	Richey				ļ		
	3,870,843	3/11/75	Witte						
	3,913,362	10/21/75	Goessling						
	4,076,976	2/28/78	Fenton		ļ				
	4,085,315	4/18/78	Wolter et al.						
	4,101,955	7/18/78	DuNah		ļ				
	4,122,510	10/24/78	Halliday						
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	International AutoSpo Bates Nos. LL 00179	ort Catalog ("ł 8 - LL 001799	Holidays 2001"), cover	page and Pag	e 27 beari	ng 			
	Lizell Catalog (Christi	mas 2001), tv	vo pages bearing Bate	s Nos. LL 0018	300 - LL 00)1801			
-	"Hiyoshi 'Frosted' LE Bates Nos. LL 00177	D Globes, De 0 - LL 00177	corative Lamp with Fiv	ve Built-In LED	s," two pag	ges bearing			
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Initial*	Document Number	Date	Name		Class	Subclass	If Appr	opriate
	4,129,899	12/12/78	Dunbar		ļ		ļ	
	4,164,132	8/14/79	Loman		<u> </u>			
	4,210,953	7/1/80	Stone					·
	4,228,484	10/14/80	Johnstone					
	4,242,724	12/30/80	Stone					
	4,261,026	4/7/81	Bolha					
	4,276,582	6/30/81	Burnett					
	4,303,966	12/1/81	Wolter					
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	"Hiyoshi Frosted-LED	Lamp" Broch	ure bearing Bates Nos	. LL 001609 - LL	001624			
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Form PTO-199 (Rev. 8-88)

U.S. Department of Commerce Patent and Trademark Office

Attorney Docket No. 8342-89535

Serial No. 10/614,583

INFORMATION DISCLOSURE STATEMENT Applicant

(Use several sheets if necessary)

Kevin L. Parsons Group No.

Filing Date July 7, 2003

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Examiner Initial*	Document Number	Date	Name	Class	Subclass	Filing D	
	4,336,574	6/22/82	Goodman				
	4,346,329	8/24/82	Schmidt				
	4,392,186	7/5/83	Cziment				
	4,398,237	8/9/83	Doyel				
	4,399,495	8/16/83	Leopoldi et al.				
	4,408,261	10/4/83	Polakoff				
	4,409,645	10/11/83	Sloan				
	4,422,131	12/20/83	Clanton et al.				
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	REI Catalog "Get Out	side Yourself	" cover page and Pages 6-8 (date un	known) b	earing		
_	Bates Nos. LL 00178						
	Seventh Avenue Cata	alog (date unk	nown), two pages bearing Bates Nos	. LL 0017	94 - LL 0017	95	
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10/614,583

INFORMATION DISCLOSURE STATEMENT
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Filing Date July 7, 2003

Kevin L. Parsons
Group No.

U.S. PATENT DOCUMENTS

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Examiner nitial*	Document Number	Date	Name	Class	Subclass	Filing D	ate opriate
	4,433,365	2/21/84	Rousseau				
	4,517,627	5/14/85	Bradford				
	4,521,833	6/4/85	Wolter				
	D285,989	10/7/86	MacDonald				
	D290,518	6/23/87	Rakocy et al.				
	4,731,712	3/15/88	Amthor				
	4,768,138	8/30/88	Leopoldi et al.				
	4,893,222	1/9/90	Mintzer				
		FORE	EIGN PATENT DOCUMENTS				
	Document Number	Date	Country	Class	Subclass	Trans Yes	slation No
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	Taylor Gifts Catalog (date unknow	n), cover page and Page 14 bearing	g Bates Nos	. LL 001796 -	LL 0017	97
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U.S. Department of Commerce Patent and Trademark Office

Attorney Docket No. 8342-89535

Serial No. 10/614,583

Applicant

Kevin L. Parsons

Filing Date

Group No. July 7, 2003

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nitial*	Document Number	Date	Name	Class	Subclass	If Appro	priate
	D310,306	9/4/90	MacDonald				
	D311,067	10/2/90	Chabria				
	5,008,784	4/16/91	Wang				
	5,029,055	7/2/91	Lindh				
	5,043,854	8/27/91	Gammache				
	5,122,943	6/16/92	Pugh				
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	5,158,356	10/27/92	Guthrie				
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Form PTO-1449 U.S. Department of Commerce Patent and Trademark Office

applicant.

Attorney Docket No. 8342-89535

Serial No. 10/614,583

Applicant

INFORMATION DISCLOSURE STATEMENT Kevin L. Parsons (Use several sheets if necessary) Filing Date Group No. July 7, 2003 U.S. PATENT DOCUMENTS Filing Date Examiner If Appropriate Initial* **Document Number** Date Name Class Subclass 1/26/93 5,181,927 Song D337,200 7/13/93 Keller 5,285,586 2/15/94 Goldston et al. 5,386,351 1/31/95 Tabor 5,457,613 10/10/95 Vandenbelt et al. 5,463,539 10/31/95 Vandenbelt et al. 5,465,197 11/7/95 Chien 12/12/95 Collins 5,475,368 FOREIGN PATENT DOCUMENTS Translation **Document Number** Date Class Subclass Yes Country No OTHER DOCUMENTS (Including Author, Title, Date, Pertinent Pages, Etc.) Date Considered Examiner Initial if citation considered, whether or not citation is in conformance with MPEP 609; Draw line through *Examiner: citation if not in conformance and not considered. Include copy of this form with next communication to

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U.S. Department of Commerce Patent and Trademark Office Attorney Docket No. 8342-89535 Serial No. 10/614,583

Applicant INFORMATION DISCLOSURE STATEMENT Kevin L. Parsons (Use several sheets if necessary) Filing Date Group No. July 7, 2003 U.S. PATENT DOCUMENTS Examiner Filing Date Initial* If Appropriate **Document Number** Date Name Class **Subclass** 5,541,817 7/30/96 Hung D372,356 8/6/96 Marguerite 5,617,751 4/8/97 Song D381,803 8/5/97 Crego 3/24/98 5,730,013 Huang D394,345 5/19/98 Marguerie D400,326 10/27/98 Fisher D401,371 11/17/98 Chen FOREIGN PATENT DOCUMENTS Translation Subclass **Document Number** Date Country Class Yes No OTHER DOCUMENTS (Including Author, Title, Date, Pertinent Pages, Etc.) Examiner Date Considered Initial if citation considered, whether or not citation is in conformance with MPEP 609; Draw line through *Examiner: citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

Form PTO-1446 (Rev. 8-88)

U.S. Department of Commerce Patent and Trademark Office Attorney Docket No. 8342-89535

Applicant

Serial No. 10/614,583

INFORMATION DISCLOSURE STATEMENT (Use several sheets if necessary)

Kevin L. Parsons

Filing Date Group No. July 7, 2003 **U.S. PATENT DOCUMENTS** Filing Date If Appropriate Examiner **Document Number** Initial* Date Name Class Subclass D402,069 12/1/98 Chan 5,893,631 4/13/99 Padden 4/13/99 McDermott 5,894,196 5,934,789 8/10/99 Sinclair et al. 5,956,985 9/28/99 Chang 6,006,562 12/28/99 Wolter 6,039,454 3/21/00 Hallgrimsson 6,109,762 8/29/00 Hallgrimsson et al. FOREIGN PATENT DOCUMENTS Translation Class Subclass Yes **Document Number** Date Country No OTHER DOCUMENTS (Including Author, Title, Date, Pertinent Pages, Etc.) Date Considered Examiner Initial if citation considered, whether or not citation is in conformance with MPEP 609; Draw line through *Examiner: citation if not in conformanc and not considered. Include copy of this form with next communication to applicant.

Form PTO-14450 (Rev. 8-88)

U.S. Department of Commerce Patent and Trademark Office

Attorney Docket No. 8342-89535

Serial No.

10/614,583

	INFORMATION DISCLOSURE STATEMENT			Applicant Kevin L. Parsons							
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		U.:	S. PATENT DOCUME	NTS							
Examiner Initial*	Document Number	Date	Name		Class Subclass		Filing Date If Appropriate				
	6,164,795	12/26/00	Lopez								
	6,270,234 B1	8/7/01	Au-Yeung								
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